



# *Frobenius Numbers by Toric Gr*

*Daniel Lichtblau*

*Wolfram Research, Inc.  
100 Trade Centre Dr.  
Champaign IL USA, 61820*

*danl@wolfram.com*

*ACA 2005, Nara, Japan  
August 2005*



## Abstract

Given a set  $A = \{a_1, \dots, a_n\}$  of positive integers with  $\gcd(a_1, \dots, a_n) = 1$ , "sufficiently large" integers can be represented as a non-negative linear combination of elements of  $A$ . The Frobenius number of the set is defined as the largest integer not representable. The Frobenius instance problem (also called the stamp problem) is to determine, given a positive integer  $n$ , whether  $n$  is representable. The Frobenius instance problem is NP-complete. The Frobenius instance problem can be addressed via toric Gröbner bases.

It is known that the Frobenius number problem is NP-hard in general. For dimension 2 it is trivial (Sylvester solved in two decades before Frobenius). For dimension 3 a very efficient method was found independently by De Loth and Schreyer. For higher dimensions some quite effective methods are known, but the Frobenius number of an element of  $A$  is not too large (say, less than  $10^7$ ).

Recent work has given rise to methods that are effective in higher dimensions, although the dimension must be bounded by 10 or so. We will recast this work using toric Gröbner bases, wherein the Frobenius number of an element of  $A$  is given by the staircase of the basis with respect to the Frobenius number. This is reasonably efficient in dimensions 4 to 7, when the elements of  $A$  are not too large. We will illustrate this.

## Introduction: Background and brief history

We are given a set  $A = \{a_1, \dots, a_n\}$  of positive integers later purposes that the set is in ascending order.

Problem 1 (Frobenius instance problem): Given a nonnegative integers  $X = \{x_1, \dots, x_n\}$  such that  $X.A = n$ .

Problem 2 (Frobenius number problem): Find the largest nonnegative integer combination of  $A$ .

In the 80's and 90's Greenberg and Davison independence problem 2 when  $n = 3$ . Beyond this size no specialized methods are known, and we must resort to general tactics.

Reasonably effective methods based mostly on graph theory 30 years or so. Some very nice new ones are presented, very recent work by Beihoffer, Hendry, Nijenhuis, and a third author helped originate the graph theory approach. size of  $a_1$ , but not by  $n$ .



## Introduction: Background and brief history

This restriction apparently rankled the fourth author, who was using different tactics. Forthcoming joint work by David Wagon, and myself will show how one can attack this problem using methods and integer programming. While we can do away with the restriction we do get into some algorithmic complexity due to dimensionality beyond  $n = 11$  or so. Some of the technology we use is based on methods where we can manage higher dimension (25 or larger).

It so happens that much of this can be recast in a setting that is more familiar, which boils to integer linear programming, has long had a standard approach (as per work by Conti and Traverso), this is related to finding Frobenius numbers. We can exploit it to handle problems that previously could not be done by methods known as of now. As soon as you see is that the needed code is quite short (three pages).

We will define a "fundamental domain" which is a generalization of both lattice diagrams in earlier literature and a graph description. The Frobenius number will be the furthest corner from the origin in the domain. As we will see, an important domain feature is what we call a "staircase" to find those via a Gröbner basis "staircase" constitutes

# Solving a Frobenius instance via toric Gröb

Say we are given the set and value

$$\mathbf{A} = \{200, 230, 528, 863, 905, 1355, 1777\}$$
$$\mathbf{b} = 7777;$$

We wish to know whether or how we can write  $b$  as a non-negative integer combination of elements of  $A$ . We may do this as follows. Create a variable  $x_i$  for each element  $a_i$  in this set. Create a Gröbner basis in these variables, using an order that makes all monomials that do not contain it. For this we use a weight vector  $w$  as to be efficient for the task at hand. Basically it is a reverse-lexicographic order with degree–reverse–lexicographic on the remaining variables. (homogenous) total degree we weight by the values in  $w$ .



## Solving a Frobenius instance via toric Gröb

```
len = Length[A];  
vars = Array[x, len];  
polys = vars - t ^ A;  
wtmat = RotateRight[Reverse[-IdentityMatrix[  
wtmat[[2]] *= -1;  
wtmat[[1]] = Prepend[A, 0];  
wtmat[[{1, 2}]] = wtmat[[{2, 1}]]];  
wtmat
```

```
{ {1, 0, 0, 0, 0, 0, 0, 0, 0, 0},  
  {0, 200, 230, 528, 863, 905, 1355, 1355, 1355, 1355},  
  {0, 0, 0, 0, 0, 0, 0, 0, 0, -1},  
  {0, 0, 0, 0, 0, 0, 0, 0, -1, 0},  
  {0, 0, 0, 0, 0, 0, 0, -1, 0, 0},  
  {0, 0, 0, 0, 0, 0, -1, 0, 0, 0},  
  {0, 0, 0, 0, 0, -1, 0, 0, 0, 0},  
  {0, 0, 0, 0, -1, 0, 0, 0, 0, 0},  
  {0, 0, 0, -1, 0, 0, 0, 0, 0, 0},  
  {0, 0, -1, 0, 0, 0, 0, 0, 0, 0} }
```

## Solving a Frobenius instance via toric Gröb

```
Timing[
  gb = GroebnerBasis[polys, Prepend[v
    MonomialOrder -> wtmat];]
{9.8775 Second, Null}
```

```
Length[gb]
```

```
264
```

To check whether we can represent  $b$  as a nonnegative integer combination of the elements of the Gröbner basis, we reduce (using the same term ordering)  $t^b$  by this Gröbner basis.

```
red = PolynomialReduce[t ^ 7777, gb, F
  MonomialOrder -> wtmat][[2]]
```

```
x[2]^4 x[3] x[5] x[9]^3
```

⏪ ⏩ ⏴ ⏵

## Solving a Frobenius instance via toric Gröb

Now replace variables by their values and get correspond

```
fax = Drop[FactorList[red], 1]  
exponvec = fax /. x[j_] :=> A[[j]]
```

```
{ {x[2], 4}, {x[3], 1}, {x[5], 1}, {x[6], 1}, {x[7], 1}, {x[8], 1}
```

```
{ {230, 4}, {528, 1}, {905, 1}, {1808, 1}, {3616, 1}, {7232, 1}
```

We check this. We want to see that  $4 * 230 + 1 * 528 + 1 * 905 + 1 * 1808 + 1 * 3616 + 1 * 7232 = 7777$ .

```
Total[Apply[Times, exponvec, 2]]
```

```
7777
```

Remark: The above illustrates more or less the original algorithm for computing Gröbner bases. Subsequent improvements have been done much more efficiently now. This concludes our brief introduction to a Frobenius instance problem using the method of Contini. The main task at hand, which is computation of Frobenius numbers, is now done much more efficiently.



## The Fundamental Domain

We define a lattice by the set of integer combinations of  $a_1$ . This is a full dimensional lattice in  $\mathbb{Z}^{n-1}$ . The set of lattice gives rise to what we call the fundamental domain space of dimension one less than the size of our set. It is distinct residue classes, so we know the cardinality of the "weight" of a vector  $v \in \mathbb{Z}^{n-1}$  as  $v \cdot \{a_2, \dots, a_n\}$ . It can be at least one element with all nonnegative entries. Among weight. In case of tie, choose the one that is lexicographically the set of residues that we take to comprise the fundame



## The Fundamental Domain

This domain can be shown to have several interesting properties

- It is a staircase. If it contains a lattice element then it contains any coordinate strictly smaller.
- It tiles  $\mathbb{Z}^{n-1}$ .
- It is a cyclic group  $\mathbb{Z} / a_1 \mathbb{Z}$ .
- It can be given a circulant graph structure. It is this structure used in shortest-path graph methods. Old and new methods for recent work by Beihoffer et al.

For our purposes the property of most interest is the first, which is achieved by computing a toric Gröbner basis.

⏪

⏩

⏪

⏩

## Definitions related to the Fundamental Domain

From the staircase property the fundamental domain has no sharp corners. It has extremal points called corners. Specifically, an elbow is a point  $x$  that is not in the domain, but is such that  $x - e_j$  is in the domain, where  $e_j$  is a standard basis vector.

There are two other definitions that play a role in the algorithm, too carefully but, roughly, there are as follows.

- (i) Protoelbows. These have both positive and negative parts. In certain "minimal" equivalences (that is, reducing relations), these are given as exponent vectors of binomial polynomials.
- (ii) Preelbows. These are the "positive parts" of the protoelbow elements in the partially ordered set (ascending by inclusion).

⏪

⏩

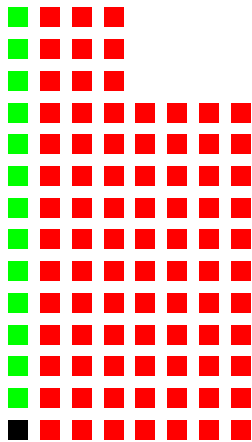
⏪

⏩

## Fundamental Domain, illustrated

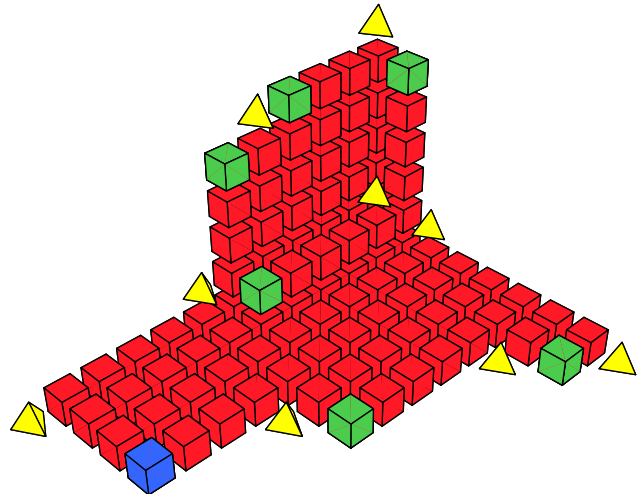
Since this region is one dimension smaller than the input ( $a_1$ ), it can be illustrated for the cases  $n = 3$  and  $n = 4$ . The Wagon.

With respect to these domains, the Frobenius number comes from the origin, with distance an  $l_1$  metric weighted by the diagram the "elbows" are the lattice points on the axes that lattice point in the interior just outside the "ell". The corner reached by intersecting vertical and horizontal lines through the entire story as regards the  $n = 3$  case, because it can interior elbow and two such corners, and finding them is



# Fundamental Domain, illustrated

In the three dimensional diagram the elbows are again the same as well as bounding points where the staircase goes up in the interior. They are demarcated by yellow tetrahedra. The blue box is the maximal corner.



## The Algorithm, in brief

The gist of our efficient algorithm is to use integer linear programming to find the furthest corner (containing elbows, then use a method David and Stan d... corners. We finish when we have the furthest corner. W... elbows) arise even at  $n = 4$ , the average case performan... nice. Some of the ILP ideas appear in work by Aardal, I... subsequent refinement by Aardal and Lenstra. I also had... AHL which I used to find large examples of what are kr...

Getting back to Frobenius numbers, it turns out that one... find what are called "protoelbows". These are lattice poi... one subset equal positive combinations of another. Fron... elbows, and we use a domination algorithm of Bentley, "kernel" set which comprise the actual elbows. The harc... coming up with the protoelbows. This can be done with...

As with instance solving, the idea is again to set up relat... polynomials for a toric ideal) of the form  $x_j - t^{a_j}$ , and el... at all...

## Finding "elbow" relations

...For better efficiency we now use an improvement, due to the computation of the elimination ideal. Instead of  $x_j - t^{a_j}$  we use  $x_j^{e_j^+} - x_j^{e_j^-}$  where  $e_j^+$  and  $e_j^-$  are the positive and negative exponents of  $x_j$  in a generator for the null space of  $A$ . We can use any basis for the null space that is lattice reduced so as to keep down the exponents. The new variable  $u = \prod x_j$  that in effect allows us to invert negative exponents and thus eliminates the new variable  $u$ .

From this basis we next want to find a new one that will be a Gröbner basis. As our lattice is now represented by the elimination ideal, this amounts to an inverse lexicographical term order or well-founded ordering for monomials because it has no zero divisors (it is an ordering appropriate for a local ring). In order to homogenize, making the homogenizer variable largest term order. As we want an inverse lexicographic order we use reverse-lexicographic. So we now compute a new Gröbner basis and then dehomogenize. Note that this second basis compared to the first, hence not problematic in regard to efficiency.

## Finding "elbow" relations

Since it is the exponent vectors we are after, we extract lattice elements by subtracting second term powers from but it is just the usual translation from toric ideal to lattice are only interested in equalities modulo  $a_1$ , we strip off To satisfy a technical consideration for protoelbows (see negate the lattice vector. Taking positive parts of the result preelbows.

It is important to note that we are using the Gröbner basis the instance solving usage, we do not work geometrically

⏪

⏩

⏪

⏩

## Code to find elbows

```
preElbows[vals_] :=
Module[{n = Length[vals], vars, x, t, nspace, pos, neg, p
  nspace = integerNullSpace[vals];
  vars = Array[x, n];
  pos = (nspace + Abs[nspace]) / 2;
  neg = -nspace + pos;
  polys = Map[Inner[Power, vars, #, Times] &, pos] - Map[Inner[Power, vars, #, Times] &, neg];
  polys = Join[polys, {Apply[Times, vars] * y} - 1];
  polys = GroebnerBasis`ToricGroebnerBasis[polys, vars,
  polys = homogenize[polys, vars, h];
  polys = GroebnerBasis[polys, Reverse[Append[vars, h]],
    MonomialOrder → DegreeReverseLexicographic] /. h → 1;
  exponvecs = First[GroebnerBasis`DistributedTermsList[polys, vars]];
  exponvecs = Map[First[-#[[1]] + #[[2]]] &, exponvecs];
  exponvecs = Map[orient[#, vals] &, exponvecs];
  exponvecs = Map[Rest, exponvecs]; (*protoelbows*) Union
```

```
elbows[vals_] := Sort[dominationKernel[preElbows[vals]]]
```

⏪ ⏩ ⏴ ⏵

## More code: utility functions

```
integerNullSpace[vec : {_Integer ..}] := Module[{mat, hnf}, mat = Transpose[Join[{vec}, I  
  hnf = Last[Developer`HermiteNormalForm[mat]];  
  LatticeReduce[Map[Drop[#, 1] &, Drop[hnf, 1]]]]
```

```
homogenize[poly_, vars_, new_] /; (Head[poly] != Plus && Head[poly] != List) := poly  
homogenize[polys_List, vars_, v_] := Map[homogenize[#, vars, v] &, polys]  
homogenize[poly_, vars_, new_] := Module[{degfunc, totdeg, j}, degfunc = Apply[Plus, Map]  
  degfunc = Distribute[degfunc, Function, Plus];  
  totdeg = Max[Map[degfunc, Apply[List, poly]]];  
  Apply[Plus, Table[poly[[j]] * new^(totdeg - degfunc[poly[[j]]]), {j, Length[poly]}]]]
```

```
orient[vec_, basevec_] := Module[{val = Rest[vec].Rest[basevec], j = 1},  
  Which[val > 0, vec, val < 0, -vec, True, While[vec[[j]] == 0, j++]; -Sign[vec[[j]]] * ve
```

```
dominationKernel[X_] :=  
Module[{Y = X[[Ordering[Map[Tr, X]]]], Z, i = 2, len = 1, k}, Z = Table[{}, {Length[Y]}];  
Z[[1]] = Y[[1]];  
While[i ≤ Length[Y] && Tr[Y[[i]]] == Tr[Y[[1]]], len++;  
Z[[len]] = Y[[i]]; i++;  
Do[k = 1;  
  While[k ≤ len,  
    If[And@@ Thread[Z[[k]] ≤ Y[[j]], k = len + 2; Break[], k++];  
    If[k = len + 1, len++; Z[[len]] = Y[[j]], {j, len + 1, Length[Y]}];  
  Sort[Take[Z, len]]]
```

```
ClearNegsAndDeleteZeroVector[vecs_] := If[vecs == {}, {}, Union[DeleteCases[vecs /. _?Ne
```

⏪ ⏩ ⏴ ⏵

## Rest of code: corners

The method below for using elbows to find the "Frobenius  
Einstein, with refinements and code provided by Stan W



```

farthest[corns_, A_] := Fold[If[#2.Rest[A] > #1[[2]], {#2, #2.Rest[A]}, #1] &, {Table[0,
Options[FarthestCorner] = {TopLevelTraceQ → False, TraceStep → 1};

FarthestCorner[A_, elbows_?MatrixQ, opts___Rule] := Module[{pts, p1, p2, B, cc, trQ, far
{tlQ, ts} = {TopLevelTraceQ, TraceStep} /. {opts} /. Options[FarthestCorner];
skipct = counter = 0;
currfar = farthest[elbows, A].Rest[A];
pts = Select[elbows, #[[1]] > 0 &];
Scan[(counter++;
{p1, p2} = {First[#], Rest[#]};
B = Rest /@ Select[elbows, #[[1]] < p1 &];
B = dominationKernel[ClearNegsAndDeleteZeroVector[# - p2 & /@B]];
maxes = Prepend[Max /@ Transpose[B], 0];
If[tlQ && Mod[counter, ts] == 0, Print[{counter, Length[pts], "Length of sent set
If[(maxes + #).A1 > currfar, cc = FarthestCornerSub[A, B, currfar, #, opts];
farvertex = farthest[Prepend[# + p2, p1] & /@cc, A];
currfar = Max[currfar, farvertex.A1], skipct++;
If[tlQ && Mod[counter, ts] == 0, Print[{counter, Length[pts], "got a cutoff, tota
currfar - Total[A]] /; Length[elbows[[1]]] > 2;

FarthestCorner[A_, elbows_?MatrixQ, opts___Rule] := farthest[FarthestCornerSub[A, elbo

FarthestCornerSub[A_, elbows_, currfar_, backdata_, opts___] :=
Module[{p1, p2, B, maxes},
Flatten[
Map[
({p1, p2} = {#[[1]], Rest[#]};
B = Rest /@ Select[elbows, First[#] < p1 &];
B = dominationKernel[ClearNegsAndDeleteZeroVector[# - p2 & /@B]];
If[B == {}, {}, maxes = Prepend[Max /@ Transpose[B], 0];
If[(PadLeft[maxes + #, Length[A] - 1] + backdata).Rest[A] > currfar,
(Prepend[# + p2, p1] & /@FarthestCornerSub[A, B, currfar, PadLeft[#, Length[A]
Select[elbows, First[#] > 0 &]], 1]] /; Length[elbows[[1]]] > 2;

FarthestCornerSub[_ , elbows_, ___] := (Partition[Take[Flatten[Reverse /@ Reverse[elbows

```

⏪

⏩

⏪

⏩

## Examples

We show several examples to get some idea of time nee

```

vals1 = {200, 230, 528, 863, 905, 135!
vals2 = {13557, 20002, 52831, 86312,
vals3 = {18543816, 27129592, 4322664
vals4 = {11615, 27638, 32124, 48384,
vals5 = {10^10, 18543816066, 2712959
        78522678316};
vals6 = {10000000000, 35550333799, 4
        67932625953, 75136205898, 790225
vals7 = {10000000000, 35550333799, 4
        67932625953, 75136205898, 790225

```

## Examples, continued

```

Timing[elbows1 = elbows[vals1]]
Timing[f1 = FarthestCorner[vals1, el

```

```

{4.89226 Second, {{0, 0, 0, 0, 0, 2}, {0, 0, 0, 0, 2, 1}, {0
    {0, 0, 0, 1, 1, 0}, {0, 0, 0, 2, 0, 0}, {0, 0, 1, 0, 0, 1},
    {0, 0, 5, 0, 0, 0}, {0, 1, 0, 0, 1, 1}, {0, 1, 4, 0, 0, 0},
    {0, 3, 2, 0, 0, 0}, {0, 4, 1, 0, 0, 0}, {0, 5, 0, 0, 0, 0},
    {2, 0, 4, 0, 0, 0}, {3, 0, 0, 0, 2, 0}, {3, 0, 3, 1, 0, 0},
    {5, 0, 0, 0, 1, 0}, {5, 0, 2, 1, 0, 0}, {8, 1, 0, 0, 0, 0},
    {11, 0, 2, 0, 0, 0}, {13, 0, 1, 0, 0, 0}, {14, 0, 0, 1, 0,

```

```

{0.053992 Second, 4192}

```

```

Timing[elbows2 = elbows[vals2];
f2 = FarthestCorner[vals2, elbows2]

```

```

{0.314952 Second, 2185053}

```

```
Timing[elbows3 = elbows[vals3];  
  f3 = FarthestCorner[vals3, elbows3]  
{0.030995 Second, 33335274131}
```

```
Timing[elbows4 = elbows[vals4];  
  f4 = FarthestCorner[vals4, elbows4]  
{2.50562 Second, 861905}
```

## Examples, continued

```
Timing[elbows5 = elbows[vals5]]  
Timing[f5 = FarthestCorner[vals5, elbows5]  
{0.100984 Second,  
  {{0, 0, 0, 210}, {0, 0, 162, 153}, {0,  
  {0, 234, 111, 89}, {0, 244, 307, 0}  
  {0, 518, 0, 0}, {165, 174, 80, 26},  
  {264, 448, 0, 83}, {358, 0, 0, 147}  
  {454, 0, 327, 0}, {459, 458, 0, 0},  
  {0.022997 Second, 38563214973583}
```

```
Timing[elbows6 = elbows[vals6];]  
Timing[f6 = FarthestCorner[vals6, elbows6]  
{26.7119 Second, Null}  
{0.619906 Second, 22024636179389}
```

```
Timing[elbows7 = elbows[vals7];]
Timing[f7 = FarthestCorner[vals7, el
{801.31 Second, Null}
{10.59 Second, 10155222194133}
```

To the best of my knowledge, the Frobenius numbers in done with methods available as of one year ago.



## Summary

We have seen how toric Gröbner bases can be used to find a staircase for the "normal set" with respect to a certain monomial order. Unlike most methods involving finite varieties, toric and otherwise, what is possible with Gröbner bases can be used at all to find Frobenius numbers. To gain staircase information, both seem to be of interest.

It is also of interest that this approach can handle Frobenius numbers not amenable to ANY method known as recently as a year ago.

The more efficient methods in our work in preparation for future programming. Much of this has been incorporated into the functions Reduce, FindInstance, and Minimize.

Open questions:

- (1) Would dedicated toric basis code do better? Probably
- (2) Are there better ways to do the term ordering so that information about the fundamental domain, but faster?



## References

K. Aardal, C. A. J. Hurkens, and A. K. Lenstra. Solving a system  $c$  and upper bounds on the variables. *Mathematics of Operations Res*

K. Aardal and A. K. Lenstra. Hard equality constrained knapsacks. *Integer Programming and Combinatorial Optimization (IPCO 2002 Lecture Notes in Computer Science 233, 350–366. Springer–Verlag*

D. Beihoffer, J. Hendry, A. Nijenhuis, and S. Wagon. Faster algorithm *Electronic Journal of Combinatorics* 12. 2005.

J. L. Bentley, K. L. Clarkson, and D. B. Levine. Fast linear .expected and convex hulls. *Algorithmica* 9(2): 168–183. 1993.

P. Conti and C. Traverso. Gröbner bases and integer programming. *Springer–Verlag LNCS 539 130–139. 1991.*

J. L. Davison. On the linear Diophantine problem of Frobenius. *J. N*

D. Einstein, D. Lichtblau, A. Strzebonski, and S. Wagon. Frobenius preparation. 2005.

H. Greenberg. Solution to a linear Diophantine equation for nonneg 1988.

M. Keith. (Web page discussing Keith numbers)

<http://users.aol.com/s6sj7gt/mikekeit.htm>

D. Lichtblau. Solving knapsack and related problems. Manuscript.

L. Pottier. Gröbner bases of toric ideals. INRIA Rapport de recherche